

KNOW YOUR CLIENT FORM

(Equity & Debt)

NTHC Securities LTD								
Depository Participant								
Name		Number						
Personal Information								
Surname								
Other Names			Please attach a recent					
			passport size photograph					
Date of birth	DD/MM/YYYY		(taken within last six months)					
Marital Status	☐ Single ☐ Married ☐ Divorced ☐ Widowed							
Dependants								
		Contact Information						
		Telephone						
		Mobile No.						
	(please list their ages e.g. 12, 17,21)	Email						
Occupation		Postal Addres	SS					
Name of Spouse								
Next of Kin								
Name		Permanent /						
Address		Residential						
		Address						
ID Type	✓ Voter's ID✓ Driver's license✓ Passport✓ NHIS✓ National ID							
ID Number								
Employer's Name								
Employer's Name								
Employer's Address /								
Business Address								
Employer's Tel.								
Bank Details								
Account Name.		Bank Name						
Account No.		Bank Branch						
Citizenship								
☐ Ghanaian (s) ☐ Non-Ghanaian (s) ☐ Resident (s) ☐ Non-Resident (s)								
ID Type	☐ Voter's ID ☐ Driver's license	Passport	□ NHIS □ National ID					
ID Number								

Nature or Type of Investment							
Shares	☐ Treasury Bills						
Knowledge of Investmen	ts						
Excellent	Good		☐ Fair				
Type Of Account and Documents							
☐ Individual	☐ Joint / In Trust For		Institution				
Accounts at Another Dealer							
Active Name of LDM		_ Rep		Account Number(s)			
Name of LDM		_ Rep		Account Number(s)			
Reasons for Leaving:							
Inactive							
		_ Rep		Account Number(s)			
Nama of LDM		Dan		Account Number(c)			
Name of LDM		_ nep		Account Number(s)			
Reasons for Leaving							
Signature			Date DD/MM.	<u>/ Y Y Y Y </u>			
For Official use only							
Account Number			Date of Entry DD/MM	/YYYY			
Related Accounts 1			New Application				
Related Accounts 2			Update to Application				
3							